

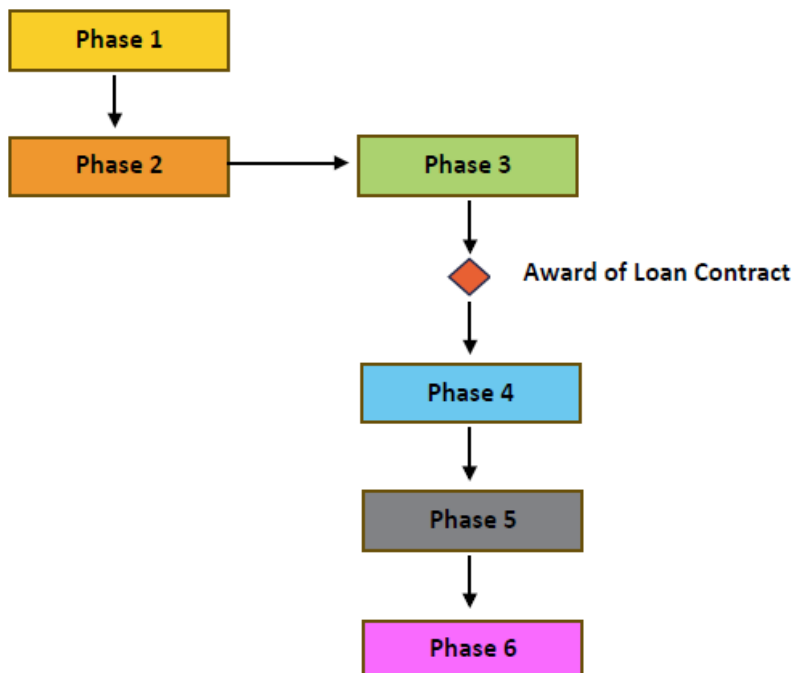
## Clean Water State Revolving Fund Start-to-Finish Checklist

This list is intended to help borrowers understand the general requirements of the Clean Water State Revolving Fund (CWSRF) program. Because every borrower and project is different, the items included here may not cover all requirements for a specific project. Borrowers are responsible for working with the Office of Financial Assistance (OFA) to confirm what their project must address.

Project Phases 1 and 2 may occur at the same time, but Phase 4 cannot begin until Phase 3 is fully complete. Please note that program terms and conditions may change at any time without notice.

### Phases of funding:

- |                |   |
|----------------|---|
| <b>Phase 1</b> | Getting your project on the list for funding        |
| <b>Phase 2</b> | Finalize project loan application                   |
| <b>Phase 3</b> | Getting to a funding agreement (loan contract)      |
| <b>Phase 4</b> | Getting to constructing your project                |
| <b>Phase 5</b> | Construction, materials procurement, and inspection |
| <b>Phase 6</b> | Project close out and ongoing debt service          |





## Acronyms

AIS	American Iron and Steel
AOC	Authorization and Contingencies
ASTM	American Society for Testing Material
BABA	Build America, Buy America
Borrower	Loan Borrower
BWPC	Bureau of Water Pollution Control or Their Assignee
CO	Change orders
CWSRF	Nevada Clean Water State Revolving Fund
DB	Davis-Bacon Act
DBE	Disadvantaged Business Entity
DOL	Department of Labor
IBC	International Building Code
NDEP	Nevada Division of Environmental Protection
NIFS	Nevada Infrastructure Financial System
OFA	Office of Financial Assistance
SAM	System for Award Management
SHPO	State Historic Preservation Office
UEI	Unique Entity Identifier

Contact NDEP:

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State Revolving Fund Resources:

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Visit NIFS:

[NIFS](#)

Step	Phase	Completed	Activity	NIFS	Responsible Party	Additional Information and Resources
1	1		Submit pre-application through NIFS	X	Borrower	User guides are available at the bottom of the website at <a href="#">Nevada Infrastructure Financial System</a>
2	1		Project placed on Priority List		OFA	
3	1		Priority List approved by Board for Financing Water Projects		OFA	
4	2		Submit financial information for OFA review	X	Borrower	Upload the following financial information into NIFS: <ul style="list-style-type: none"> <li>• Three years of financial information (audits)</li> <li>• Debt Management Policy (if seeking a traditional loan)</li> <li>• Financial advisor information (if seeking a traditional loan)</li> <li>• The currently adopted user rates</li> </ul> This is uploaded under <i>Entity/Contact</i> tab “Upload Entity Documents”
5	2		Attach CW Environmental Information Form to Letter of Intent	X	Borrower	<a href="#">CWSRF Environmental Information Form</a>
6	2		Submit technical information for OFA review	X	Borrower	Upload the following technical/managerial documents into NIFS: <ul style="list-style-type: none"> <li>• Current Capital Improvement Plan</li> <li>• Current Asset Management Plan or Fiscal Sustainability Plan</li> <li>• Current <a href="#">SAM</a> Registration (with expiration date)</li> </ul> This is uploaded under <i>Entity/Contact</i> tab “Upload Entity Documents”
7	2		Architectural and Engineering Procurement		Borrower	
8	2		Work with bond counsel (if seeking a traditional loan)		Borrower	
9	2		Submit Letter of Intent through NIFS	X	Borrower	
10	2		Submit a cost estimate no older than 6 months		Borrower	
11	2		Schedule OFA consult meeting		OFA/Borrower	

Step	Phase	Completed	Activity	NIFS	Responsible Party	Additional Information and Resources
12	2		Provide evidence of alternatives considered and use of best available technology		Borrower	Include information from the Preliminary Engineering Report (PER)
13	2		Request feedback/input from the community regarding the project		Borrower	
14	2		Provide SAM entry and UEI# to OFA		Borrower	Borrower must be registered in <a href="#">SAM</a> to verify it is eligible to receive federal funds and allow the project to move forward for Board approval
15	2		Obtain governing board authority to submit the loan application		Borrower	Governing board approval should contain: <ul style="list-style-type: none"> <li>• Project is identified as a current need by the board</li> <li>• Board wishes to apply to the CWSRF for project funding</li> <li>• Project Authorized Representatives</li> </ul>
16	2		Submit loan application through NIFS	X	Borrower	See NIFS User Guides
17	2		Borrower evaluation		OFA	
18	2		Notice project is ready for funding recommendation		OFA	
19	2		Board for Financing approves Loan Commitment		OFA	Board meets four times per year
20	3		Borrower to submit SAM verification, UEI# of the selected engineer to OFA		Borrower	
21	3		Project Value Engineering Review (if applicable)		OFA/Borrower	For projects ≥ \$10 million
22	3		OFA initiated Environmental Review		OFA/Borrower	
23	3		Cross-cutters consultations (if applicable)		OFA	<a href="#">Cross-Cutting Federal Authorities</a>
24	3		Interested parties' consultations (if applicable) <sup>1</sup>		OFA	
25	3		Mitigation agreements (if applicable)		OFA/Borrower	
26	3		Environmental Review		OFA	
27	3		SHPO concurrence with NDEP's Finding of Effect		SHPO/OFA	
28	3		Submit permits to OFA	X	Borrower	Submit approved permits issued by regulatory bureaus
29	3		Obtain State Vendor# from State Controller's Office		Borrower	

Step	Phase	Completed	Activity	NIFS	Responsible Party	Additional Information and Resources
30	3		Entity Information sheet		Borrower	
31	3		Wire instructions (if applicable)		Borrower	
32	3		Loan contract signed		OFA/Borrower	
33	4		Plan and specs submitted for permitting agency approval and OFA review		Borrower	
34	4		Plans and specs approved		OFA/Permitting Agency	
35	4		Obtain OFA package and submit complete bid specs, including DB wages, for OFA review	X	Borrower	Contact OFA for the latest version
36	4		Bid specifications reviewed by OFA		OFA	
36	4		Bid solicitation		Borrower	Contract procurement steps are iterative and depend on the number of contracts
38	4		DBE Six Good Faith Efforts		Borrower	<a href="#">SRF Disadvantaged Business Enterprise Program</a>
38	4		Submit Decision of Award for construction contract to OFA for approval		Borrower	Bid tabulation, bid schedules, and additional support for final selection as applicable
40	4		Borrower to submit SAM verification, UEI# of the selected contractor to OFA		Borrower	Borrower needs to collect this information for all contractors and sub-contractors for contracts greater than \$25,000
41	4		Construction contract awarded		Borrower	
42	4		Construction contracts signed		Borrower	
43	4		Notice to Proceed approved by OFA		OFA	Borrower confirms all necessary permits and SHPO concurrences are in place
44	5		Schedule pre-construction meeting and invite OFA		Borrower/ Contractor	
45	5		Pre-construction meeting		OFA/Borrower	OFA will provide the necessary forms to finalize workflow and contracts
46	5		Submit Wage Comparison Worksheets to OFA	X	Borrower	
47	5		Submit DB Wage Conformance Requests to Federal DOL		OFA/Borrower	Borrower submits forms to OFA. <a href="#">Davis-Bacon Wage Requirements</a>

Step	Phase	Completed	Activity	NIFS	Responsible Party	Additional Information and Resources
48	5		BD Wages a. Submit weekly payrolls to Borrower b. Submit payroll samples to OFA c. Submit worker interview to OFA	X	Contractor/ Borrower	b. OFA receives one sample for each contract c. Borrower conducts interviews periodically
49	5		Make plans for State Apprentices and Trainee Utilization Act compliance		Borrower	For current rules and necessary forms visit <a href="http://labor.nv.gov">Nevada State Apprenticeship Council (labor.nv.gov)</a>
50	5		Contact the OFA project engineer for specifics regarding SRF funding requirements and process	X	Borrower/Project Representative	AIS, BABA, DB. CO/AOCs, Progress Reports, Draw Reviews, and Site Inspections
51	5		Project and construction site signage		Borrower	Borrower submits site pictures to OFA. <a href="#">Environmental Protection Agency Guidelines to Enhance Public Awareness of CWSRF and DWSRF Programs</a>
52	5		Compliance with AIS and/or BABA <sup>2</sup>		Borrower	<a href="#">Build America, Buy America Act Implementation Procedures for EPA Office of Water Federal Financial Assistance Programs</a>
53	5		Identify AIS/BABA Project-Specific Waiver a. OFA submits AIS/BABA market research to EPA b. OFA submits Project-Specific Waiver to EPA		Borrower/OFA	OFA will submit waiver requests to EPA a. Borrower works with contractors to gather product specifications <sup>3</sup> for OFA to submit request to EPA b. A detailed justification must be provided if there are changes to the details provided in the previous step
54	5		De minimis Waiver eligibility review a. Estimate total material cost for the project b. Submit all AIS certifications to OFA c. De minimis tracking		OFA/Borrower	Conduct three-part de minimis eligibility test <sup>4</sup> c. Sample
55	5		Submit waivers to OFA for EPA approval		OFA/Borrower	
56	5		Submit COs and AOCs before or while executing them	X	Borrower	OFA will keep track of these and use them to review reimbursement requests and AIS needs
57	5		Submit Construction Progress Reports to OFA	X	Borrower	Include pictures and identify SRF-specific items

Step	Phase	Completed	Activity	NIFS	Responsible Party	Additional Information and Resources
58	5		CO and AOC concurrence		OFA	
59	5		Submit Draw Request	X	Borrower	
60	5		Site visit inspections		OFA/Borrower	
61	6		Email final walkthrough schedule to OFA		Borrower	OFA attends the final walkthrough and receives punch list
62	6		Submit Certification of Completion	X	Borrower	
63	6		Must submit the following items for project closeout: a. As-built drawing to OFA b. Operations and Maintenance Manual to OFA c. DB Summary Report d. Project Performance Letter	X	Borrower/Project Contact	d. Borrower provides final report, project performance certificate <sup>5</sup> , SRF checklist, DB wage settlement arrangements, and one-year warranty
64	6		Obtain BWPC approval for as-builds and Notice to Commence Operations		Borrower	
65	6		Submit Fiscal Sustainability Plan, if required	X	Borrower	
66	6		Final Draw Request	X	Borrower	
67	6		Loan closeout		OFA	Confirm no pending items including wage settlements

<sup>1</sup> Per SHPO and ACHP, *Interested Parties* are entities that may have an interest in cultural and historical properties within the service area.

<sup>2</sup> Click the following for quick access: [American Iron and Steel Quick Guide](#), [American Iron and Steel Full Guidance](#), [Build America, Buy America Act Implementation Procedures for EPA Office of Water Federal Financial Assistance Programs](#)

<sup>3</sup> This includes unit of measure; quality/standards (IBC, ASTM, etc.); quantities; estimated cost; expected dates (from Engineer and Contractor); location of project; et.al.

<sup>4</sup> Is the item incidental to this project? Is item cost <1% of total project material cost? Is the sum of waivers <5% of the total material cost?

<sup>5</sup> Project is constructed in accordance with the approved plans and specs, and loan stipulations, meets intended goals with necessary permits obtained to get the facility online, and was executed in full compliance with Section 106 for NHPA and in adherence to applicable



environmental determination and mitigation plans. It should also be in full compliance with the Davis-Bacon Wage Rule and/or pending wage conformances from federal DOL, with necessary arrangements in place to settle wages later. There should be no unanticipated discovery events to report, and the Operations and Maintenance Manual has or will be submitted for review and approval.